

Form PTO-1390US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(Rev. 5-93)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NO **H3739 PCT/US**

U.S. APPLICATION NO (if known sec 17 CFR 1.5)

09/831431

INTERNATIONAL APPLICATION NO.
PCT/EP99/08286

INTERNATIONAL FILING DATE
October 30, 1999

PRIORITY DATE CLAIMED
November 9, 1998

TITLE OF INVENTION
UTILIZATION OF CATION-ACTIVE MIXTURES

APPLICANT(S) FOR DO/EO/US
Bettina Jackwerth, Thomas Gassenmeier, Cristina Amela Conesa, and Esther Prat Queralt

Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment
 - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information.:

"Express Mail" mailing label number **EL541613240US**

U.S. Application No. (If known see CFR 1.30) 09/831431		INTERNATIONAL APPLICATION NO. PCT/EP99/08286		ATTORNEY'S DOCKET NUMBER H3739 PCT/US	
17. ■ The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$860.00 International preliminary examination fee paid to USPTO (37CFR 1.482) \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37CFR 1.445(a)(2))..... \$760.00 Neither international preliminary examination fee (37CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1000.00 International preliminary examination fee paid to USPTO (37CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00				CALCULATIONS PTO USE ONLY	
				ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860 00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date 37 (CFR 1.492(e)).				\$	
Claims	Number filed	Number Extra	Rate		
Total Claims	12 - 20 =	0	X 18.00	\$	0 00
Independent Claims	2 - 3 =	0	X 80.00	\$	0 00
Multiple dependent claims (s)(if applicable)			0 + 260.00	\$	0 00
TOTAL OF ABOVE CALCULATIONS =				\$	860 00
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$	860 00
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37CFR 1.492(f)).....				\$	
TOTAL NATIONAL FEE =				\$	860 00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$	860 00
				Amount to be: refunded	\$-----
				charged	860.00
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. ■ Please charge my Deposit Account No. <u>50-1177</u> in the amount of \$ 860.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. <u>01-0273</u> . c. ■ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1177</u> . A triplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept. 2500 Renaissance Blvd., Ste. 200 Gulph Mills, PA 19406					
				SIGNATURE _____ Steven J. Trzaska NAME ATTORNEY FOR APPLICANT 36,296 REGISTRATION NUMBER	

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JCO8 Rec'd PCT/PTO 09 MAY 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jackwerth et al.
I.A. Number : PCT/EP99/08286
I.A. Filing Date: October 30, 1999
Priority Date : November 9, 1998
Title : UTILIZATION OF CATION-ACTIVE MIXTURES

Grp./A.U. : Unknown
Examiner : Unknown

Docket No. : H 3739 PCT/US

Assistant Commissioner for Patents
Box PCT
Washington, DC 20231

ATTN: DO/EO/US

PRELIMINARY AMENDMENT

Sir:

Preliminary to examination, please amend the instant application as follows.

In the Specification:

At page 1, line 1, please delete "**Field of the Invention**" and replace with
--Background of the Invention--.

At page 1, line 6, delete "Prior Art".

Enter a new page 27, submitted herewith, containing the Abstract of the
Disclosure.

In the Claims:

Cancel claims 1-10, without prejudice.

Enter the following new claims.

11. A process for treating human skin and hair comprising contacting the skin or hair
with an aqueous composition containing:

(a) an esterquat;

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- (b) an oil component;
- (c) a fatty alcohol; and
- (d) a fatty alcohol polyglycol ether.

12. The process of claim 11 wherein the esterquat is present in the composition in an amount of from about 0.1 to 25% by weight, based on the weight of the composition.

13. The process of claim 11 wherein the oil component is present in the composition in an amount of from about 0.5 to 90% by weight, based on the weight of the composition.

14. The process of claim 11 wherein the fatty alcohol is present in the composition in an amount of from about 0.1 to 75% by weight, based on the weight of the composition.

15. The process of claim 11 wherein the fatty alcohol is cetearyl alcohol.

16. The process of claim 11 wherein the fatty alcohol polyglycol ether is present in the composition in an amount of from about 0.1 to 75% by weight, based on the weight of the composition.

17. The process of claim 11 wherein the fatty alcohol polyglycol ether is a cetearyl ethoxylate having from about 1 to 20 moles of ethylene oxide.

18. The process of claim 11 wherein both the fatty alcohol and the fatty alcohol polyglycol ether have identical fatty acid residues.

19. The process of claim 11 wherein (a), (c) and (d) are present in the composition in a ratio by weight of (a):(c)+(d) of from about 90:10 to 10:90.

20. The process of claim 11 wherein (a), (c) and (d) are present in the composition in a ratio by weight of (a):(c)+(d) of from about 80:20 to 70:30.

21. The process of claim 11 wherein (a), (c) and (d) are present in the composition in a ratio by weight of (a):(c)+(d) of from about 20:80 to 30:70.

22. A process for treating human skin and hair comprising contacting the skin or hair with an aqueous composition containing:

- (a) from about 5 to 15% by weight of an esterquat;
- (b) from about 5 to 50% by weight of an oil component;

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(c) from about 5 to 50% by weight of a fatty alcohol; and

(d) from about 5 to 50% by weight of a fatty alcohol polyglycol ether, all weights being based on the total weight of the composition.

REMARKS/ARGUMENTS

Claims 11-22 are currently pending in the instant application.

The Specification has been amended to include the preferred section headings pursuant to 37 C.F.R. §1.77. An Abstract of the Disclosure has been added on a separate sheet. All of the amendments to the Specification constitute deletions of original section headings and/or paragraphs, and insertions or additions of new section headings and/or paragraphs. It is submitted that the amendments to the Specification made herein introduce no new matter. Their entry is therefore proper and respectfully requested. Accordingly, pursuant to 37 C.F.R. §1.121(b)(1)(iii), no separate page captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE" is necessary.

Original claims 1-10 have been canceled and replaced with new claims 11-22 solely for the purpose of improving clarity and grammar, which may suffer in translation, and not for any reason which relates to the statutory requirements for a patent. New claims 11-22 have not been added in response to any rejection, nor in anticipation of any rejection. Applicant(s) respectfully submit(s) that the scope of new claims 11-22 corresponds to the scope of original claims 1-10, and that new claims 11-22 are no narrower than original claims 1-10. Furthermore, although a moot point in view of their cancellation, Applicant(s) respectfully submit(s) that original claims 1-10 satisfied the requirements of 35 U.S.C. §112, as filed. New claims 11-22 are supported by the claims as originally filed and by the Examples. No new matter has been introduced. Entry is therefore proper and respectfully requested.

Prompt examination of the instant application in view of the

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amendments made herein is respectfully requested.

Respectfully submitted,



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G:\Data\H3739pam.doc

Attached:

1. Added Page 27

Utilization of Cation-active Mixtures

Field of the Invention

This invention relates generally to cationic surfactants and, more particularly, to the use of oil-containing cosmetic preparations containing esterquats, fatty alcohols and fatty alcohol polyglycol ethers.

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Prior Art

Cationic surfactants of the esterquat type have been used for some time for hair conditioning cosmetics. By virtue of their favorable sensorial properties, these compounds are also being increasingly used in skin cosmetics. However, cosmetic emulsions containing known esterquats as conditioning agents or cationic emulsifiers, are not entirely satisfactory from the performance point of view. For example consumers complain that the emulsions leave an oily residue behind, do not spread quickly enough and should be absorbed more quickly.

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Reference is made in this connection to German patent **DE-C1 4308794** (Henkel) which describes a process for the production of solid esterquats in which the quaternization of triethanolamine esters is carried out in the presence of suitable dispersants, preferably fatty alcohols; these preparations are used as hair conditioners. In addition, according to **DE-C1 4335782** (Henkel), the quaternization of triethanolamine fatty acid esters is carried out in the presence of polyols, for example glycerol, ethylene glycol, partial glycerides, nonionic surfactants and the like, in order to avoid the use of inflammable isopropyl alcohol as solvent.

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Accordingly, the problem addressed by the present invention was to provide oil-containing cosmetic preparations containing cationic surfactants of the esterquat type which would be distinguished by improved sensorial properties and, in particular, by quick spreading and rapid residue-free absorption.

Description of the Invention

The present invention relates to the use of cationic mixtures containing

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- (a) esterquats,
- (b) oil components,
- (c) fatty alcohols and
- (d) fatty alcohol polyglycol ethers

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for the production of skin-cleansing and skin-care preparations.

It has surprisingly been found that oil-containing skin-cleansing and skin-care preparations, preferably in emulsion form, which contain esterquats together with fatty alcohols and fatty alcohol polyglycol ethers, preferably mixtures of cetearyl alcohol and cetearyl ethoxylates, provide the skin with a particularly pleasant feel, do not leave any residues behind, spread quickly and are rapidly absorbed.

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Esterquats

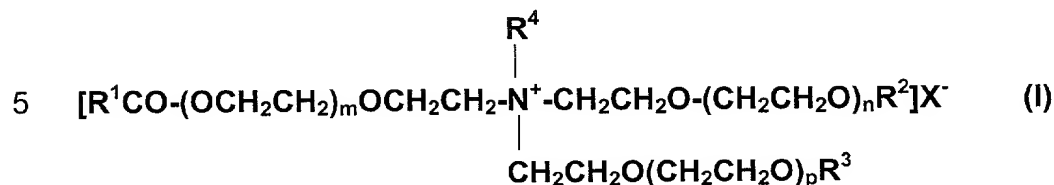
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"Esterquats" are generally understood to be quaternized fatty acid triethanolamine ester salts. These are known substances which may be obtained by the relevant methods of preparative organic chemistry, cf. International patent application **WO 91/01295** (Henkel). According to this document, triethanolamine is partly esterified with fatty acids in the presence of hypophosphorous acid, air is passed through and the reaction product is quaternized with dimethyl sulfate or ethylene oxide. Overviews on this subject have been published, for example, by R. Puchta et al. in **Tens. Surf. Det.**, 30, 186 (1993), by M. Brock in **Tens. Surf. Det.** 30, 394 (1993), by R. Lagerman et al. in **J. Am. Oil. Chem. Soc.**, 71, 97 (1994) and by I. Shapiro in **Cosm. Toil**, 109, 77 (1994).

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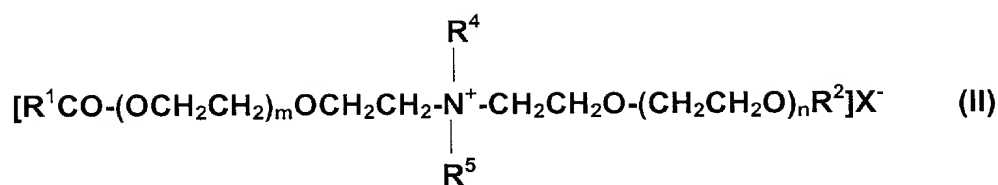
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The esterquats which form component (a) correspond, for example, to formula (I):

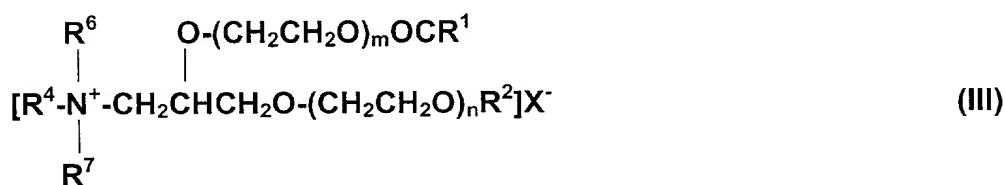


in which R^1CO is an acyl group containing 6 to 22 carbon atoms and preferably 12 to 18 carbon atoms, R^2 and R^3 independently of one another represent hydrogen or have the same meaning as R^1CO , R^4 is an alkyl group containing 1 to 4 carbon atoms or a $(CH_2CH_2O)_qH$ group, m , n and p together stand for 0 or numbers of 1 to 12, q is a number of 1 to 12 and X is halide, alkyl sulfate or alkyl phosphate. To produce the quaternized esters, the fatty acids, for example palm oil fatty acid, coconut oil fatty acid or tallow fatty acid, and the triethanolamine may be used in a molar ratio of 1.1:1 to 3:1. With the performance properties of the esterquats in mind, a ratio of 1.2:1 to 2.2:1 and preferably 1.5:1 to 1.9:1 has proved to be particularly advantageous. The preferred esterquats are technical mixtures of mono-, di- and triesters with an average degree of esterification of 1.5 to 1.9 and are derived from technical $C_{12/18}$ palm oil fatty acid (iodine value 0 to 40). In performance terms, quaternized fatty acid triethanolamine ester salts corresponding to formula (I), in which R^1CO is a palm acyl group containing 12 to 18 carbon atoms, R^2 has the same meaning as R^1CO , R^3 is hydrogen, R^4 is a methyl group, m , n and p stand for 0 and X stands for methyl sulfate, have proved to be particularly advantageous.

Another group of suitable esterquats are quaternized ester salts of the fatty acids mentioned with diethanolalkylamines corresponding to formula (II):



in which R^1CO is an acyl group containing 6 to 22 carbon atoms and preferably 12 to 18 carbon atoms, R^2 is hydrogen or has the same meaning as R^1CO , R^4 and R^5 independently of one another are alkyl groups containing 1 to 4 carbon atoms, m and n together stand for 0 or numbers of 1 to 12 and X stands for halide, alkyl sulfate or alkyl phosphate. Finally, another group of suitable esterquats are the quaternized ester salts of the fatty acids mentioned with 1,2-dihydroxypropyl dialkylamines corresponding to formula (III):



in which R^1CO is an acyl group containing 6 to 22 carbon atoms and preferably 12 to 18 carbon atoms, R^2 is hydrogen or has the same meaning as R^1CO , R^4 , R^6 and R^7 independently of one another are alkyl groups containing 1 to 4 carbon atoms, m and n together stand for 0 or numbers of 1 to 12 and X stands for halide, alkyl sulfate or alkyl phosphate. So far as the choice of the optimum degree of esterification is concerned, the examples mentioned in regard to (I) also apply to the esterquats of formulae (II) and (III).

Oil components

Suitable oil components are, for example, Guerbet alcohols based on fatty alcohols containing 6 to 18 and preferably 8 to 10 carbon atoms,

esters of linear C₆₋₂₂ fatty acids with linear C₆₋₂₂ fatty alcohols, esters of branched C₆₋₁₃ carboxylic acids with linear C₆₋₂₂ fatty alcohols, esters of linear C₆₋₂₂ fatty acids with branched alcohols, more particularly 2-ethyl hexanol, esters of hydroxycarboxylic acids with linear or branched C₆₋₂₂ fatty alcohols, more especially Dioctyl Malate, esters of linear and/or branched fatty acids with polyhydric alcohols (for example propylene glycol, dimer diol or trimer triol) and/or Guerbet alcohols, triglycerides based on C₆₋₁₀ fatty acids, liquid mono-/di-/triglyceride mixtures based on C₆₋₁₈ fatty acids, esters of C₆₋₂₂ fatty alcohols and/or Guerbet alcohols with aromatic carboxylic acids, more particularly benzoic acid, esters of C₂₋₁₂ dicarboxylic acids with linear or branched alcohols containing 1 to 22 carbon atoms or polyols containing 2 to 10 carbon atoms and 2 to 6 hydroxyl groups, vegetable oils, branched primary alcohols, substituted cyclohexanes, linear and branched C₆₋₂₂ fatty alcohol carbonates, Guerbet carbonates, esters of benzoic acid with linear and/or branched C₆₋₂₂ alcohols (for example Finsolv® TN), linear or branched, symmetrical or nonsymmetrical dialkyl ethers containing 6 to 22 carbon atoms per alkyl group, ring opening products of epoxidized fatty acid esters with polyols, silicone oils and/or aliphatic or naphthenic hydrocarbons.

Fatty alcohols and fatty alcohol polyglycol ethers

Fatty alcohols in the context of the invention are primary, preferably long-chain and linear alcohols which typically correspond to formula (IV):

25 **R⁸OH**

(IV)

in which R⁸ is a linear or branched alkyl or alkenyl group containing 6 to 22 carbon atoms. Typical examples are caproic alcohol, caprylic alcohol, capric alcohol, lauryl alcohol, myristyl alcohol, cetyl alcohol, stearyl alcohol, oleyl alcohol, erucyl alcohol, behenyl alcohol and the technical mixtures

obtained in the pressure hydrolysis of natural triglycerides. The fatty alcohol polyglycol ethers preferably correspond to formula (V):



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in which R^9 is a linear or branched alkyl and/or alkenyl group containing 6 to 22 carbon atoms and is a number of 1 to 20. They may be products of the addition of 1 to 20 and preferably 10 to 15 moles ethylene oxides onto the above-mentioned fatty alcohols; the ethoxylates may have both a conventional broad homolog distribution and a narrow homolog distribution. It has proved to be of advantage for fatty alcohols and polyglycol ethers to have the same fatty residue. Cetearyl alcohol, a 1:1 mixture of cetyl and stearyl alcohol, and adducts of 1 to 20 and preferably 10 to 15 moles ethylene oxide with cetearyl alcohol are preferably used. Mixtures of components (a), (c) and (d) which are obtained as technical mixtures through the production process used are also preferred. To this end, alkanolamine fatty acid esters are reacted with alkylating agents in the presence of such quantities of fatty alcohol and fatty alcohol polyglycol ethers, preferably mixtures of cetearyl alcohol and cetearyl polyglycol ethers, that a ratio by weight of esterquat to fatty alcohol/fatty alcohol polyglycol ether of 90:10 to 10:90 and preferably 80:20 to 70:30 or 20:80 to 30:70 is obtained. The esterification and quaternization may be carried out in known manner, as fully described, for example, in **DE-C1 4308794** and **DE-C1 4335782** (Henkel). The particular advantage of using such mixtures is that they are easy to disperse, even without heating. Surprisingly, these directly prepared binary mixtures are also sensorially superior to the mixture of individual components in the formulation.

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Cosmetic preparations

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As mentioned at the beginning, the cosmetic preparations according

to the present invention are normally emulsions which may be both w/o and o/w emulsions; multiple emulsions of the w/o/w or o/w/o type are also suitable. In one preferred embodiment of the invention, the preparations may have the following composition:

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- (a) 0.1 to 25, preferably 5 to 15% by weight of esterquats,
- (b) 0.5 to 90, preferably 5 to 50% by weight of oil components,
- (c) 0.1 to 75, preferably 5 to 50% by weight of fatty alcohols and
- (d) 0.1 to 75, preferably 5 to 50% by weight alcohol polyglycol ethers,

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with the proviso that the quantities shown add up to 100% by weight with water and optionally other ingredients.

Commercial Applications

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The preparations according to the invention, for example hair aftertreatments, hair conditioners, hair coloring emulsions, body washes and body-care products, sun protection creams, lotions and ointments and make-up and other decorative cosmetic products, may also contain mild surfactants, emulsifiers, superfatting agents, pearlizing waxes, stabilizers, consistency factors, thickeners, polymers, silicone compounds, biogenic agents, deodorants, antidandruff agents, film formers, preservatives, hydrotropes, solubilizers, UV protection factors, antioxidants, insect repellents, self-tanning agents, perfume oils, dyes and the like as further auxiliaries and additives.

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Typical examples of suitable mild, i.e. particularly dermatologically compatible, **surfactants** are fatty alcohol polyglycol ether sulfates, monoglyceride sulfates, mono- and/or dialkyl sulfosuccinates, fatty acid isethionates, fatty acid sarcosinates, fatty acid taurides, fatty acid glutamates, ether carboxylic acids, alkyl oligoglucosides, fatty acid glucamides, alkylamidobetaines and/or protein fatty acid condensates,

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preferably based on wheat proteins.

Suitable **emulsifiers** are, for example, nonionic surfactants from at least one of the following groups:

- 5 (1) products of the addition of 2 to 30 moles ethylene oxide and/or 0 to 5 moles propylene oxide onto linear fatty alcohols containing 8 to 22 carbon atoms, onto fatty acids containing 12 to 22 carbon atoms and onto alkylphenols containing 8 to 15 carbon atoms in the alkyl group;
- 10 (2) C_{12/18} fatty acid monoesters and diesters of products of the addition of 1 to 30 moles ethylene oxide onto glycerol;
- (3) glycerol monoesters and diesters and sorbitan monoesters and diesters of saturated and unsaturated fatty acids containing 6 to 22 carbon atoms and ethylene oxide adducts thereof;
- (4) alkyl mono- and oligoglycosides containing 8 to 22 carbon atoms in
15 the alkyl group and ethoxylated analogs thereof;
- (5) adducts of 15 to 60 moles ethylene oxide with castor oil and/or hydrogenated castor oil;
- (6) polyol esters and, in particular, polyglycerol esters such as, for example, polyglycerol polyricinoleate, polyglycerol poly-12-hydroxy-
20 stearate and polyglycerol dimerate. Mixtures of compounds from several of these classes are also suitable;
- (7) products of the addition of 2 to 15 moles ethylene oxide onto castor oil and/or hydrogenated castor oil;
- (8) partial esters based on linear, branched, unsaturated or saturated
25 C_{6/22} fatty acids, ricinoleic acid and 12-hydroxystearic acid and glycerol, polyglycerol, pentaerythritol, dipentaerythritol, sugar alcohols (for example sorbitol), alkyl glucosides (for example methyl glucoside, butyl glucoside, lauryl glucoside) and polyglucosides (for example cellulose);
- 30 (9) mono-, di- and trialkyl phosphates and mono-, di- and/or tri-PEG-alkyl

phosphates and salts thereof;

(10) wool wax alcohols;

(11) polysiloxane/polyalkyl polyether copolymers and corresponding derivatives;

5 (12) mixed esters of pentaerythritol, fatty acids, citric acid and fatty alcohol according to **DE-PS 1165574** and/or mixed esters of fatty acids containing 6 to 22 carbon atoms, methyl glucose and polyols, preferably glycerol or polyglycerol, and

(13) polyalkylene glycols.

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The addition products of ethylene oxide and/or propylene oxide with fatty alcohols, fatty acids, glycerol monoesters and diesters and sorbitan monoesters and diesters of fatty acids or with castor oil are known commercially available products. They are homolog mixtures of which the
15 average degree of alkoxylation corresponds to the ratio between the quantities of ethylene oxide and/or propylene oxide and substrate with which the addition reaction is carried out. $C_{12/18}$ fatty acid monoesters and diesters of adducts of ethylene oxide with glycerol are known as refatting agents for cosmetic formulations from **DE-PS 20 24 051**.

20 $C_{8/18}$ alkyl mono- and oligoglycosides, their production and their use are known from the prior art. They are produced in particular by reacting glucose or oligosaccharides with primary alcohols containing 8 to 18 carbon atoms. So far as the glucoside unit is concerned, both monoglycosides in which a cyclic sugar unit is attached to the fatty alcohol
25 by a glycoside bond and oligomeric glycosides with a degree of oligomerization of preferably up to about 8 are suitable. The degree of oligomerization is a statistical mean value on which the homolog distribution typical of such technical products is based.

Other suitable emulsifiers are zwitterionic surfactants. Zwitterionic
30 surfactants are surface-active compounds which contain at least one

- quaternary ammonium group and at least one carboxylate and one sulfonate group in the molecule. Particularly suitable zwitterionic surfactants are the so-called betaines, such as the N-alkyl-N,N-dimethyl ammonium glycinate, for example cocoalkyl dimethyl ammonium glycinate, N-acylaminopropyl-N,N-dimethyl ammonium glycinate, for example cocoacylaminopropyl dimethyl ammonium glycinate, and 2-alkyl-3-carboxymethyl-3-hydroxyethyl imidazolines containing 8 to 18 carbon atoms in the alkyl or acyl group and cocoacylaminoethyl hydroxyethyl carboxymethyl glycinate. The fatty acid amide derivative known under the CTFA name of *Cocamidopropyl Betaine* is particularly preferred. Ampholytic surfactants are also suitable emulsifiers. Ampholytic surfactants are surface-active compounds which, in addition to a C_{8/18} alkyl or acyl group, contain at least one free amino group and at least one -COOH- or -SO₃H- group in the molecule and which are capable of forming inner salts. Examples of suitable ampholytic surfactants are N-alkyl glycines, N-alkyl propionic acids, N-alkylaminobutyric acids, N-alkyliminodipropionic acids, N-hydroxyethyl-N-alkylamidopropyl glycines, N-alkyl taurines, N-alkyl sarcosines, 2-alkylaminopropionic acids and alkylaminoacetic acids containing around 8 to 18 carbon atoms in the alkyl group. Particularly preferred ampholytic surfactants are N-cocoalkylaminopropionate, cocoacylaminoethyl aminopropionate and C_{12/18} acyl sarcosine. Besides ampholytic emulsifiers, quaternary emulsifiers may also be used, those of the esterquat type, preferably methyl-quaternized difatty acid triethanolamine ester salts, being particularly preferred.
- Superfatting agents** may be selected from such substances as, for example, lanolin and lecithin and also polyethoxylated or acylated lanolin and lecithin derivatives, polyol fatty acid esters, monoglycerides and fatty acid alkanolamides, the fatty acid alkanolamides also serving as foam stabilizers.
- Suitable **pearlizing waxes** are, for example, alkylene glycol esters,

especially ethylene glycol distearate; fatty acid alkanolamides, especially cocofatty acid diethanolamide; partial glycerides, especially stearic acid monoglyceride; esters of polybasic, optionally hydroxysubstituted carboxylic acids with fatty alcohols containing 6 to 22 carbon atoms, especially long-chain esters of tartaric acid; fatty compounds, such as for example fatty alcohols, fatty ketones, fatty aldehydes, fatty ethers and fatty carbonates which contain in all at least 24 carbon atoms, especially laurone and distearylether; fatty acids, such as stearic acid, hydroxystearic acid or behenic acid, ring opening products of olefin epoxides containing 12 to 22 carbon atoms with fatty alcohols containing 12 to 22 carbon atoms and/or polyols containing 2 to 15 carbon atoms and 2 to 10 hydroxyl groups and mixtures thereof.

The **consistency factors** mainly used are fatty alcohols or hydroxyfatty alcohols containing 12 to 22 and preferably 16 to 18 carbon atoms and also partial glycerides, fatty acids or hydroxyfatty acids. A combination of these substances with alkyl oligoglucosides and/or fatty acid N-methyl glucamides of the same chain length and/or polyglycerol poly-12-hydroxystearates is preferably used. Suitable **thickeners** are, for example, polysaccharides, more especially xanthan gum, guar-guar, agar-agar, alginates and tyloses, carboxymethyl cellulose and hydroxyethyl cellulose, also relatively high molecular weight polyethylene glycol monoesters and diesters of fatty acids, polyacrylates (for example Carbopols® [Goodrich] or Synthalens® [Sigma]), polyacrylamides, polyvinyl alcohol and polyvinyl pyrrolidone, surfactants such as, for example, ethoxylated fatty acid glycerides, esters of fatty acids with polyols, for example pentaerythritol or trimethylol propane, narrow-range fatty alcohol ethoxylates or alkyl oligoglucosides and electrolytes, such as sodium chloride and ammonium chloride.

Suitable **cationic polymers** are, for example, cationic cellulose derivatives such as, for example, the quaternized hydroxyethyl cellulose

- obtainable from Amerchol under the name of Polymer JR 400®, cationic starch, copolymers of diallyl ammonium salts and acrylamides, quaternized vinyl pyrrolidone/vinyl imidazole polymers such as, for example, Luviquat® (BASF), condensation products of polyglycols and amines, quaternized collagen polypeptides such as, for example, Lauryldimonium Hydroxypropyl Hydrolyzed Collagen (Lamequat® L, Grünau), quaternized wheat polypeptides, polyethyleneimine, cationic silicone polymers such as, for example, amodimethicone, copolymers of adipic acid and dimethylaminohydroxypropyl diethylenetriamine (Cartaretine®, Sandoz), copolymers of acrylic acid with dimethyl diallyl ammonium chloride (Merquat® 550, Chemviron), polyaminopolyamides as described, for example, in **FR-A 2 252 840** and crosslinked water-soluble polymers thereof, cationic chitin derivatives such as, for example, quaternized chitosan, optionally in microcrystalline distribution, condensation products of dihaloalkyls, for example dibromobutane, with bis-dialkylamines, for example bis-dimethylamino-1,3-propane, cationic guar gum such as, for example, Jaguar® CBS, Jaguar® C-17, Jaguar® C-16 of Celanese, quaternized ammonium salt polymers such as, for example, Mirapol® A-15, Mirapol® AD-1, Mirapol® AZ-1 of Miranol.
- 20 Suitable **anionic, zwitterionic, amphoteric and nonionic polymers** are, for example, vinyl acetate/crotonic acid copolymers, vinyl pyrrolidone/vinyl acrylate copolymers, vinyl acetate/butyl maleate/isobornyl acrylate copolymers, methyl vinylether/maleic anhydride copolymers and esters thereof, uncrosslinked and polyol-crosslinked polyacrylic acids, 25 acrylamidopropyl trimethylammonium chloride/acrylate copolymers, octylacrylamide/methyl methacrylate/tert.-butylaminoethyl methacrylate/2-hydroxypropyl methacrylate copolymers, polyvinyl pyrrolidone, vinyl pyrrolidone/vinyl acetate copolymers, vinyl pyrrolidone/dimethylaminoethyl methacrylate/vinyl caprolactam terpolymers and optionally derivatized 30 cellulose ethers and silicones.

Suitable **silicone compounds** are, for example, dimethyl polysiloxanes, methylphenyl polysiloxanes, cyclic silicones and amino-, fatty acid-, alcohol-, polyether-, epoxy-, fluorine-, glycoside- and/or alkyl-modified silicone compounds which may be both liquid and resin-like at room
5 temperature. A detailed overview of suitable volatile silicones can be found in Todd et al. in **Cosm. Toil.** 91, 27 (1976).

Typical examples of **fats** are glycerides while suitable **waxes** are inter alia beeswax, carnauba wax, candelilla wax, montan wax, paraffin wax or microwaxes, optionally in combination with hydrophilic waxes, for
10 example cetyl stearyl alcohol or partial glycerides. Metal salts of fatty acids such as, for example, magnesium, aluminium and/or zinc stearate or ricinoleate may be used as **stabilizers**.

In the context of the invention, **biogenic agents** are, for example, tocopherol, tocopherol acetate, tocopherol palmitate, ascorbic acid,
15 deoxyribonucleic acid, retinol, bisabolol, allantoin, phytantriol, panthenol, AHA acids, amino acids, ceramides, pseudoceramides, essential oils, plant extracts and vitamin complexes.

Suitable **deodorizers** are, for example, antiperspirants, such as aluminium chlorohydrates. These antiperspirants are colorless
20 hygroscopic crystals which readily deliquesce in air and which accumulate when aqueous aluminium chloride solutions are concentrated by evaporation. Aluminium chlorohydrate is used for the production of perspiration-inhibiting and deodorizing formulations and probably acts by partially blocking the sweat glands through the precipitation of proteins
25 and/or polysaccharides [cf. **J. Soc. Cosm. Chem.** 24, 281 (1973)]. For example, an aluminium chlorohydrate which corresponds to the formula $[Al_2(OH)_5Cl] \cdot 2.5H_2O$ and which is particularly preferred for the purposes of the invention is commercially available under the name of Locron® from Hoechst AG of Frankfurt, FRG [cf. **J. Pharm. Pharmacol.** 26, 531 (1975)].
30 Besides the chlorohydrates, aluminium hydroxylactates and acidic

aluminium/zirconium salts may also be used. Other suitable deodorizers are esterase inhibitors, preferably trialkyl citrates, such as trimethyl citrate, tripropyl citrate, triisopropyl citrate, tributyl citrate and, in particular, triethyl citrate (Hydagen® CAT, Henkel KGaA, Düsseldorf, FRG). Esterase inhibitors inhibit enzyme activity and thus reduce odor formation. The free acid is probably released through the cleavage of the citric acid ester, reducing the pH value of the skin to such an extent that the enzymes are inhibited. Other esterase inhibitors are dicarboxylic acids and esters thereof, for example glutaric acid, glutaric acid monoethyl ester, glutaric acid diethyl ester, adipic acid, adipic acid monoethyl ester, adipic acid diethyl ester, malonic acid and malonic acid diethyl ester, hydroxycarboxylic acids and esters thereof, for example citric acid, malic acid, tartaric acid or tartaric acid diethyl ester. Antibacterial agents which influence the germ flora and destroy or inhibit the growth of perspiration-decomposing bacteria, may also be present in stick products. Examples of such antibacterial agents are chitosan, phenoxyethanol and chlorhexidine gluconate. 5-Chloro-2-(2,4-dichlorophenoxy)-phenol, which is marketed under the name of Irgasan® by Ciba-Geigy of Basel, Switzerland, has also proved to be particularly effective.

Suitable **antidandruff agents** are climbazol, octopirox and zinc pyrithione. Standard **film formers** are, for example, chitosan, microcrystalline chitosan, quaternized chitosan, polyvinyl pyrrolidone, vinyl pyrrolidone/vinyl acetate copolymers, polymers of the acrylic acid series, quaternary cellulose derivatives, collagen, hyaluronic acid and salts thereof and similar compounds. Suitable **swelling agents** for aqueous phases are montmorillonites, clay minerals, Pemulen and alkyl-modified Carbopol types (Goodrich). Other suitable polymers and swelling agents can be found in R. Lochhead's review in **Cosm. Toil.** 108, 95 (1993).

UV protection factors in the context of the invention are, for example, organic substances (UV filters) which are liquid or crystalline at

room temperature and which are capable of absorbing ultraviolet radiation and of releasing the energy absorbed in the form of longer-wave radiation, for example heat. UV-B filters can be oil-soluble or water-soluble. The following are examples of oil-soluble substances:

5

- 3-benzylidene camphor or 3-benzylidene norcamphor and derivatives thereof, for example 3-(4-methylbenzylidene)-camphor as described in **EP-B1 0693471**;
- 4-aminobenzoic acid derivatives, preferably 4-(dimethylamino)-benzoic acid-2-ethylhexyl ester, 4-(dimethylamino)-benzoic acid-2-octyl ester and 4-(dimethylamino)-benzoic acid amyl ester;
- esters of cinnamic acid, preferably 4-methoxycinnamic acid-2-ethylhexyl ester, 4-methoxycinnamic acid propyl ester, 4-methoxycinnamic acid isoamyl ester, 2-cyano-3,3-phenylcinnamic acid-2-ethylhexyl ester (Octocrylene);
- esters of salicylic acid, preferably salicylic acid-2-ethylhexyl ester, salicylic acid-4-isopropylbenzyl ester, salicylic acid homomenthyl ester;
- derivatives of benzophenone, preferably 2-hydroxy-4-methoxybenzophenone, 2-hydroxy-4-methoxy-4'-methylbenzophenone, 2,2'-dihydroxy-4-methoxybenzophenone;
- esters of benzalmalonic acid, preferably 4-methoxybenzalmalonic acid di-2-ethylhexyl ester;
- triazine derivatives such as, for example, 2,4,6-trianilino-(p-carbo-2'-ethyl-1'-hexyloxy)-1,3,5-triazine and Octyl Triazone as described in **EP-A1 0818450**;
- propane-1,3-diones such as, for example, 1-(4-tert.butylphenyl)-3-(4'-methoxyphenyl)-propane-1,3-dione;
- ketotricyclo(5.2.1.0)decane derivatives as described in **EP-B1 0694521**.

30

Suitable water-soluble substances are

- 2-phenylbenzimidazole-5-sulfonic acid and alkali metal, alkaline earth metal, ammonium, alkylammonium, alkanolammonium and glucammonium salts thereof;
- 5 ● sulfonic acid derivatives of benzophenones, preferably 2-hydroxy-4-methoxybenzophenone-5-sulfonic acid and salts thereof;
- sulfonic acid derivatives of 3-benzylidene camphor such as, for example, 4-(2-oxo-3-bornylidenemethyl)-benzene sulfonic acid and 2-methyl-5-(2-oxo-3-bornylidene)-sulfonic acid and salts thereof.

10

Typical UV-A filters are, in particular, derivatives of benzoyl methane such as, for example 1-(4'-tert.butylphenyl)-3-(4'-methoxyphenyl)-propane-1,3-dione, 4-tert.butyl-4'-methoxydibenzoyl methane (Parsol 1789) or 1-phenyl-3-(4'-isopropylphenyl)-propane-1,3-dione. The UV-A and UV-B

15 filters may of course also be used in the form of mixtures. Besides the soluble substances mentioned, insoluble light-blocking pigments, i.e. finely dispersed metal oxides or salts, for example titanium dioxide, zinc oxide, iron oxide, aluminium oxide, cerium oxide, zirconium oxide, silicates (talcum), barium sulfate and zinc stearate, may also be used for this

20 purpose. The particles should have a mean diameter of less than 100 nm, preferably between 5 and 50 nm and more preferably between 15 and 30 nm. They may be spherical in shape although ellipsoidal particles or other non-spherical particles may also be used. Other suitable UV filters can be found in P. Finkel's review in **SÖFW-Journal 122, 543 (1996)**.

25

Besides the two groups of primary sun protection factors mentioned above, secondary sun protection factors of the **antioxidant** type may also be used. Secondary sun protection factors of the antioxidant type interrupt the photochemical reaction chain which is initiated when UV rays penetrate into the skin. Typical examples are amino acids (for example glycine,

30 histidine, tyrosine, tryptophane) and derivatives thereof, imidazoles (for

example urocanic acid) and derivatives thereof, peptides, such as D,L-carnosine, D-carnosine, L-carnosine and derivatives thereof (for example anserine), carotinoids, carotenes (for example α -carotene, β -carotene, lycopene) and derivatives thereof, chlorogenic acid and derivatives thereof, liponic acid and derivatives thereof (for example dihydroliponic acid), aurothioglucose, propylthiouracil and other thiols (for example thioredoxine, glutathione, cysteine, cystine, cystamine and glycosyl, N-acetyl, methyl, ethyl, propyl, amyl, butyl and lauryl, palmitoyl, oleyl, γ -linoleyl, cholesteryl and glyceryl esters thereof) and their salts, dilaurylthiodipropionate, distearylthiodipropionate, thiodipropionic acid and derivatives thereof (esters, ethers, peptides, lipids, nucleotides, nucleosides and salts) and sulfoximine compounds (for example butionine sulfoximines, homocysteine sulfoximine, butionine sulfones, penta-, hexa- and hepta-thionine sulfoximine) in very small compatible dosages (for example pmole to μ mole/kg) also (metal) chelators (for example α -hydroxyfatty acids, palmitic acid, phytic acid, lactoferrine), α -hydroxy acids (for example citric acid, lactic acid, malic acid), humic acid, bile acid, bile extracts, bilirubin, biliverdin, EDTA, EGTA and derivatives thereof, unsaturated fatty acids and derivatives thereof (for example γ -linolenic acid, linoleic acid, oleic acid), folic acid and derivatives thereof, ubiquinone and ubiquinol and derivatives thereof, vitamin C and derivatives thereof (for example ascorbyl palmitate, Mg ascorbyl phosphate, ascorbyl acetate), tocopherols and derivatives (for example vitamin E acetate), vitamin A and derivatives (vitamin A palmitate) and coniferyl benzoate of benzoin resin, rutinic acid and derivatives thereof, α -glycosyl rutin, ferulic acid, furfurylidene glucitol, carnosine, butyl hydroxytoluene, butyl hydroxyanisole, nordihydroguaiac resin acid, nordihydroguaiaretic acid, trihydroxybutyrophenone, uric acid and derivatives thereof, mannose and derivatives thereof, Superoxid-Dismutase, zinc and derivatives thereof (for example ZnO, ZnSO₄),

selenium and derivatives thereof (for example selenium methionine), stilbenes and derivatives thereof (for example stilbene oxide, trans-stilbene oxide) and derivatives of these active substances suitable for the purposes of the invention (salts, esters, ethers, sugars, nucleotides, nucleosides, peptides and lipids).

In addition, **hydrotropes**, for example ethanol, isopropyl alcohol or polyols, may be used to improve flow behavior. Suitable polyols preferably contain 2 to 15 carbon atoms and at least two hydroxyl groups. Typical examples are

- glycerol;
- alkylene glycols such as, for example, ethylene glycol, diethylene glycol, propylene glycol, butylene glycol, hexylene glycol and polyethylene glycols with an average molecular weight of 100 to 1000 dalton;
- technical oligoglycerol mixtures with a degree of self-condensation of 1.5 to 10 such as, for example, technical diglycerol mixtures with a diglycerol content of 40 to 50% by weight;
- methylol compounds such as, in particular, trimethylol ethane, trimethylol propane, trimethylol butane, pentaerythritol and dipentaerythritol;
- lower alkyl glucosides, particularly those containing 1 to 8 carbon atoms in the alkyl group, for example methyl and butyl glucoside;
- sugar alcohols containing 5 to 12 carbon atoms, for example sorbitol or mannitol,
- sugars containing 5 to 12 carbon atoms, for example glucose or sucrose;
- aminosugars, for example glucamine.

Suitable **preservatives** are, for example, phenoxyethanol, formaldehyde solution, parabens, pentanediol or sorbic acid and the other

classes of compounds listed in Appendix 6, Parts A and B of the Kosmetikverordnung ("Cosmetics Directive"). Suitable **insect repellents** are N,N-diethyl-m-toluamide, pentane-1,2-diol or Insect Repellent 3535. A suitable **self-tanning agent** is dihydroxyacetone.

- 5 Suitable **perfume oils** are mixtures of natural and synthetic perfumes. Natural perfumes include the extracts of blossoms (lily, lavender, rose, jasmine, neroli, ylang-ylang), stems and leaves (geranium, patchouli, petitgrain), fruits (anise, coriander, caraway, juniper), fruit peel (bergamot, lemon, orange), roots (nutmeg, angelica, celery, cardamon, costus, iris, calmus), woods (pinewood, sandalwood, guaiac wood, cedarwood, rosewood), herbs and grasses (tarragon, lemon grass, sage, thyme), needles and branches (spruce, fir, pine, dwarf pine), resins and balsams (galbanum, elemi, benzoin, myrrh, olibanum, opoponax). Animal raw materials, for example civet and beaver, may also be used. Typical
- 10 synthetic perfume compounds are products of the ester, ether, aldehyde, ketone, alcohol and hydrocarbon type. Examples of perfume compounds of the ester type are benzyl acetate, phenoxyethyl isobutyrate, p-tert.butyl cyclohexylacetate, linalyl acetate, dimethyl benzyl carbonyl acetate, phenyl ethyl acetate, linalyl benzoate, benzyl formate, ethylmethyl phenyl
- 15 glycinate, allyl cyclohexyl propionate, styryl propionate and benzyl salicylate. Ethers include, for example, benzyl ethyl ether while aldehydes include, for example, the linear alkanals containing 8 to 18 carbon atoms, citral, citronellal, citronellyloxyacetaldehyde, cyclamen aldehyde, hydroxycitronellal, lilyal and bourgeonal. Examples of suitable ketones are
- 20 the ionones, α -isomethylionone and methyl cedryl ketone. Suitable alcohols are anethol, citronellol, eugenol, isoeugenol, geraniol, linalool, phenylethyl alcohol and terpineol. The hydrocarbons mainly include the terpenes and balsams. However, it is preferred to use mixtures of different perfume compounds which, together, produce an agreeable perfume.
- 25 Other suitable perfume oils are essential oils of relatively low volatility
- 30

which are mostly used as aroma components. Examples are sage oil, camomile oil, clove oil, melissa oil, mint oil, cinnamon leaf oil, lime-blossom oil, juniper berry oil, vetiver oil, olibanum oil, galbanum oil, labolanum oil and lavendin oil. The following are preferably used either individually or in the form of mixtures: bergamot oil, dihydromyrcenol, lilial, lyral, citronellol, phenylethyl alcohol, α -hexylcinnamaldehyde, geraniol, benzyl acetone, cyclamen aldehyde, linalool, Boisambrene Forte, Ambroxan, indole, hedione, sandelice, citrus oil, mandarin oil, orange oil, allylamyl glycolate, cyclovertal, lavendin oil, clary oil, β -damascone, geranium oil bourbon, cyclohexyl salicylate, Vertofix Coeur, Iso-E-Super, Fixolide NP, evernyl, iraldein gamma, phenylacetic acid, geranyl acetate, benzyl acetate, rose oxide, romillat, irotyl and floramat.

Suitable **dyes** are any of the substances suitable and approved for cosmetic purposes as listed, for example, in the publication "**Kosmetische Färbemittel**" of the **Farbstoffkommission der Deutschen Forschungsgemeinschaft, Verlag Chemie, Weinheim, 1984, pages 81 to 106**. These dyes are normally used in concentrations of 0.001 to 0.1% by weight, based on the mixture as a whole.

The total percentage content of auxiliaries and additives may be from 1 to 50% by weight and is preferably from 5 to 40% by weight, based on the particular composition. The compositions may be produced by standard cold and hot methods and are preferably produced by the phase inversion temperature method.

25 Examples

Table 1. Cosmetic preparations (water, preservative to 100% by weight)

Composition (INCI)	1	2	3	4	5	6	7	8	9	10
Texapon® NSO Sodium Laureth Sulfate	-	30.0	-	-	25.0	-	-	-	-	-
Plantacare® 818 Coco Glucosides	-	10.0	30.0	-	20.0	-	-	-	-	-
Plantacare® PS 10 Sodium Laureth Sulfate (and) Coco Glucosides	22.0	-	-	22.0	-	-	-	-	-	-
Dehyquart® C 4046 Dipalmyl Methyl Triethanol-ammonium Methosulfate (and) Cetearyl Alcohol (and) Ceteareth-10	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
Dehyton®PK 45 Cocamidopropyl Betaine	15.0	10.0	10.0	15.0	20.0	-	-	-	-	-
Emulgade® SE Glyceryl Stearate (and) Ceteareth 12/20 (and) Cetearyl Alcohol (and) Cetyl Palmitate	-	-	-	-	-	5.0	5.0	-	5.0	-
Eumulgin® B1 Ceteareth-12	-	-	15.0	-	-	-	-	-	-	-
Eumulgin® HRE 60 PEG 60 Hydrogenated Castor Oil	-	-	-	-	5.0	-	-	-	-	-
Lameform® TGI Polyglyceryl-3 Isostearate	-	-	-	-	-	-	-	4.0	-	-
Dehymuls® PGPH Polyglyceryl-2 Dipolyhydroxystearate	-	-	3.8	-	-	-	-	-	-	4.0
Monomuls® 90-O 18 Glyceryl Oleate	-	-	-	-	-	-	-	2.0	-	2.0
Cetiol® HE PEG-7 Glyceryl Cocoate	2.0	-	-	2.0	5.0	-	-	-	-	-
Cetiol® OE Dicaprylyl Ether	-	-	-	-	-	-	-	5.0	-	5.0
Cetiol® PGL Hexyldecanol (and) Hexyldecyl Laurate	-	-	-	-	-	-	-	10.0	3.0	10.0
Cetiol® SN Cetearyl Isononanoate	-	-	-	-	-	3.0	3.0	-	-	-
Cetiol® V Decyl Oleate	-	-	5.0	-	-	3.0	3.0	-	3.0	-
Myritol® 318 Coco Caprylate Caprate	-	-	-	-	-	-	-	5.0	-	5.0
Bees Wax	-	-	-	-	-	-	-	7.0	-	7.0
Nutrilan® Keratin W Hydrolyzed Keratin	-	-	-	-	-	40.0	60.0	-	60.0	-
Lamesoft® LMG Glyceryl Laurate (and) Potassium Cocoyl Hydrolyzed Collagen	-	4.0	-	-	2.0	-	-	-	-	-
Gludadin® WK Sodium Cocoyl Hydrolyzed Wheat Protein	2.0	2.0	4.0	2.0	5.0	-	-	5.0	-	5.0
Euperlan® PK 3000 AM Glycol Distearate (and) Laureth-4 (and) Cocamidopropyl Betaine	5.0	-	-	5.0	-	-	-	-	-	-
Arlypon® F Laureth-2	-	-	1.5	-	-	-	-	-	-	-
Glycerin (86% by weight)	-	-	-	-	-	3.0	3.0	5.0	3.0	5.0

(1-5) foam bath, (6) soft sream, (7,9) moisturizing emulsion, (8,10) night cream

Table 1 Cosmetic preparations (water, preservative to 100% by weight) - continued

Composition (INCI)	11	12	13	14	15	16	17	18	19	20
Dehymuls® PGPH Polyglyceryl-2 Dipolyhydroxystearate	2.0	3.0	-	5.0	-	-	-	-	-	-
Lameform® TGI Polyglyceryl-3 Diisostearate	4.0	1.0	-	-	-	-	-	-	-	-
Emulgade® PL 68/50 Cetearyl Glucoside (and) Cetearyl Alcohol	-	-	-	-	4.0	-	-	-	3.0	-
Eumulgin® B2 Ceteareth-20	-	-	-	-	-	-	-	2.0	-	-
Tegocare® PS Polyglyceryl-3 Methylglucose Distearate	-	-	-	-	-	-	4.0	-	-	-
Eumulgin VL 75 Polyglyceryl-2 Dipolyhydroxystearate (and) Lauryl Glucoside (and) Glycerin	-	-	-	-	-	3.5	-	-	2.5	-
Bees Wax	3.0	2.0	5.0	2.0	-	-	-	-	-	-
Cutina® GMS Glyceryl Stearate	-	-	-	-	-	2.0	4.0	-	-	4.0
Lanette® O Cetearyl Alcohol	-	-	2.0	-	2.0	4.0	2.0	4.0	4.0	1.0
Plantaren® 818 Cocoglycerides	5.0	-	10.0	-	8.0	6.0	6.0	-	5.0	5.0
Dehyquart® C 4046 Dipalmyl Methyl Triethanolammonium Methosulfate (and) Cetearyl Alcohol (and) Ceteareth-101	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
Finsolv® TN C12/15 Alkyl Benzoate	-	6.0	-	2.0	-	-	3.0	-	-	2.0
Diocetyl Carbonate	5.0	4.0	6.0	8.0	6.0	5.0	4.0	3.0	4.0	6.0
Cetiol® J 600 Oleyl Erucate	2.0	-	3.0	5.0	4.0	3.0	3.0	-	5.0	4.0
Cetiol® OE Dicaprylyl Ether	3.0	-	-	-	-	1.0	-	-	-	-
Mineral Oil	-	4.0	-	4.0	-	2.0	-	1.0	-	-
Cetiol® PGL Hexadecanol (and) Hexyldecyl Laurate	-	7.0	3.0	7.0	4.0	-	-	-	1.0	-
Panthenol / Bisabolol	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2
Copherol® F 1300 Tocopherol / Tocopheryl Acetate	0.5	1.0	1.0	2.0	1.0	1.0	1.0	2.0	0.5	2.0
Neo Heliopan® Hydro Sodium Phenylbenzimidazole Sulfonate	3.0	-	-	3.0	-	-	2.0	-	2.0	-
Neo Heliopan® 303 Octocrylene	-	5.0	-	-	-	4.0	5.0	-	-	10.0
Neo Heliopan® BB Benzophenone-3	1.5	-	-	2.0	1.5	-	-	-	2.0	-
Neo Heliopan® E 1000 Isoamyl p-Methoxycinnamate	5.0	-	4.0	-	2.0	2.0	4.0	10.0	-	-
Neo Heliopan® AV Octyl Methoxycinnamate	4.0	-	4.0	3.0	2.0	3.0	4.0	-	10.0	2.0
Uvinol® T 150 Octyl Triazone	2.0	4.0	3.0	1.0	1.0	1.0	4.0	3.0	3.0	3.0
Zinc Oxide	-	6.0	6.0	-	4.0	-	-	-	-	5.0
Titanium Dioxide	-	2.0	2.0	-	-	-	-	5.0	-	-
Glycerin (86% by weight)	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0

(11) w/o sun protection cream, (12-14) w/o sun protection lotion, (15,18,20) o/w sun protection lotion, (16,17,19) o/w sun protection cream

CLAIMS

1. The use of cationic mixtures containing

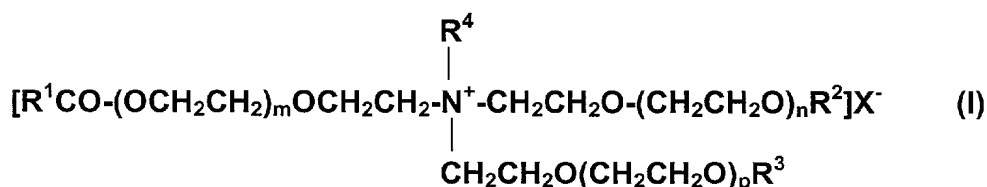
- (a) esterquats,
 5 (b) oil components,
 (c) fatty alcohols and
 (d) fatty alcohol polyglycol ethers

for the production of skin-cleansing and skin-care products.

10

2. The use claimed in claim 1, characterized in that esterquats corresponding to formula (I):

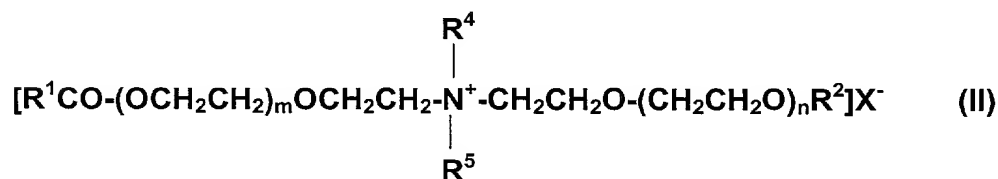
15



- 20 in which R^1CO is an acyl group containing 6 to 22 carbon atoms, R^2 and R^3 independently of one another represent hydrogen or have the same meaning as R^1CO , R^4 is an alkyl group containing 1 to 4 carbon atoms or a $(CH_2CH_2O)_qH$ group, m, n and p together stand for 0 or numbers of 1 to 12, q is a number of 1 to 12 and X is halide, alkyl sulfate or alkyl phosphate,
 25 are used.

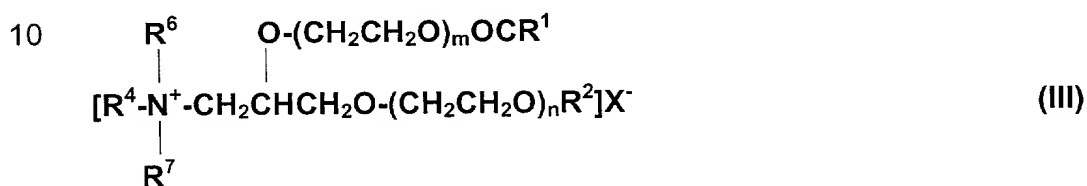
3. The use claimed in claim 1, characterized in that esterquats corresponding to formula (II):

30



in which R^1CO is an acyl group containing 6 to 22 carbon atoms, R^2 is hydrogen or has the same meaning as R^1CO , R^4 and R^5 independently of one another are alkyl groups containing 1 to 4 carbon atoms, m and n together stand for 0 or numbers of 1 to 12 and X stands for halide, alkyl sulfate or alkyl phosphate, are used

4. The use claimed in claim 1, characterized in that esterquats corresponding to formula (III):



in which R^1CO is an acyl group containing 6 to 22 carbon atoms, R^2 is hydrogen or has the same meaning as R^1CO , R^4 , R^6 and R^7 independently of one another are alkyl groups containing 1 to 4 carbon atoms, m and n together stand for 0 or numbers of 1 to 12 and X stands for halide, alkyl sulfate or alkyl phosphate, are used.

5. The use claimed in at least one of claims 1 to 4, characterized in that oil components selected from the group consisting of Guerbet alcohols based on fatty alcohols containing 6 to 18 and preferably 8 to 10 carbon atoms, esters of linear C_{6-22} fatty acids with linear C_{6-22} fatty alcohols, esters of branched C_{6-13} carboxylic acids with linear C_{6-22} fatty alcohols, esters of linear C_{6-22} fatty acids with branched alcohols, more particularly 2-ethyl hexanol, esters of hydroxycarboxylic acids with linear or branched C_{6-22} fatty alcohols, esters of linear and/or branched fatty acids with polyhydric alcohols and/or Guerbet alcohols, triglycerides based on C_{6-10} fatty acids, liquid mono-/di-/triglyceride mixtures based on C_{6-18} fatty acids, esters of

C₆₋₂₂ fatty alcohols and/or Guerbet alcohols with aromatic carboxylic acids, esters of C₂₋₁₂ dicarboxylic acids with linear or branched alcohols containing 1 to 22 carbon atoms or polyols containing 2 to 10 carbon atoms and 2 to 6 hydroxyl groups, vegetable oils, branched primary alcohols, substituted cyclohexanes, linear and branched C₆₋₂₂ fatty alcohol carbonates, Guerbet carbonates, esters of benzoic acid with linear and/or branched C₆₋₂₂ alcohols, linear or branched, symmetrical or nonsymmetrical dialkyl ethers containing 6 to 22 carbon atoms per alkyl group, ring opening products of epoxidized fatty acid esters with polyols, silicone oils and/or aliphatic or naphthenic hydrocarbons, are used

6. The use claimed in at least one of claims 1 to 5, characterized in that fatty alcohols corresponding to formula (IV):



in which R⁸ is a linear or branched alkyl and/or alkenyl group containing 6 to 22 carbon atoms, are used.

7. The use claimed in at least one of claims 1 to 6, characterized in that fatty alcohol polyglycolethers corresponding to formula (V):



in which R⁹ is a linear or branched alkyl and/or alkenyl group containing 6 to 22 carbon atoms and n is a number of 1 to 20, are used.

8. The use claimed in at least one of claims 1 to 7, characterized in that mixtures of esterquats and fatty alcohols directly obtained by alkylation of alkanolamine fatty acid esters in mixtures of the fatty alcohols and fatty alcohol polyglycol ethers are used.

9. The use claimed in at least one of claims 1 to 8, characterized in that

- (a) 0.1 to 25% by weight of esterquats
- 5 (b) 0.5 to 90% by weight of oil components,
- (c) 0.1 to 75% by weight of fatty alcohols and
- (d) 0.1 to 75% by weight of fatty alcohol polyglycol ethers,

are used, with the proviso that the quantities shown add up to 100% by weight with water and optionally other ingredients.

- 10 10. The use claimed in at least one of claims 1 to 9, characterized in that the esterquats on the one hand and the mixtures of the fatty alcohols and fatty alcohol polyglycol ethers on the other hand are used in a ratio by weight of 10:90 to 90:10.

Abstract of the Disclosure

A process for treating human skin and hair involving contacting the skin or hair with an aqueous composition containing: (a) an esterquat; (b) an oil component; (c) a fatty alcohol; and (d) a fatty alcohol polyglycol ether.

"Express Mail" mailing label number EL541613165US

PTO/SB/01 (6-95)

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0010/PTO
Rev. 6/95

U.S. Department of Commerce
Patent and Trademark Office

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket
Number

H 3739 PCT/US

First Named
Inventor

Jackwerth, Bettina

COMPLETE IF KNOWN

Application Number

09/831,431

Filing Date

07/13/01

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UTILIZATION OF CATION-ACTIVE MIXTURES

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **10/30/1999**

as United States Application Number or PCT International

Application Number

PCT/EP99/08286

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed	YES	NO	
198 51 429.8	Germany	11/09/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

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DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP99/08286	10/30/1999	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/> Firm Name		Customer Number	or label	
OR				
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below:				
Name	Registration Number	Name	Registration Number	
John E. Drach	32,891	Steven J. Trzaska	36,296	
Aaron E. Ettelman	42,516	Henry E. Millson, Jr.	18,980	

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number 23657 or label OR ☐ Fill in correspondence address below

Name	Steven J. Trzaska				
Address					
Address					
City		State		Zip	
Country		Telephone	610-278-4929	Fax	610-278-6548

hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Bettina	Middle Initial		Family Name	Jackwerth	Suffix e.g. Jr.	
Inventor's Signature	<i>Bettina Jackwerth</i>				Date	June 01, 2001	
Residence: City	Langenfeld	State		Country	Germany	Citizenship	Germany
Post Office Address	Brunnenstrasse 33 b						
Post Office Address							
City	40764 Langenfeld	State		Zip		Country	Germany
Applicant Authority							
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							

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H 3739 PCT/US

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Thomas	Middle Initial		Family Name	Gassenmeier	Suffix e.g. Jr.	
Inventor's Signature	<i>Thomas Gassenmeier</i>			Date	June 01, 2001		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address		Mannheimer Weg 16					
Post Office Address							
City	40229 Duesseldorf	State		Zip		Country	Germany
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Cristina	Middle Initial		Family Name	Amela Conesa	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Barcelona	State		Country	Spain	Citizenship	Spain
Post Office Address		Paseo Valldaura 162, 6-1					
Post Office Address							
City	08042 Barcelona	State		Zip		Country	Spain
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Esther	Middle Initial		Family Name	Prat Queralt	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Alella	State		Country	Spain	Citizenship	Spain
Post Office Address		Calle Guillerias 18					
Post Office Address							
City	08328 Alella	State		Zip		Country	Spain
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
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<div style="display: flex; justify-content: space-between;"><div>0010/PTO Rev. 6/95</div><div>U.S. Department of Commerce Patent and Trademark Office</div></div> <div style="text-align: center; margin-top: 20px;">DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</div> <div style="margin-top: 20px;"><input type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing</div>	<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 40%;">Attorney Docket Number</td><td>H 3739 PCT/US</td></tr><tr><td>First Named Inventor</td><td>Jackwerth, Bettina</td></tr><tr><td colspan="2" style="text-align: center; padding: 5px;"><i>COMPLETE IF KNOWN</i></td></tr><tr><td>Application Number</td><td></td></tr><tr><td>Filing Date</td><td></td></tr><tr><td>Group Art Unit</td><td></td></tr><tr><td>Examiner Name</td><td></td></tr></table>	Attorney Docket Number	H 3739 PCT/US	First Named Inventor	Jackwerth, Bettina	<i>COMPLETE IF KNOWN</i>		Application Number		Filing Date		Group Art Unit		Examiner Name	
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First Named Inventor	Jackwerth, Bettina														
<i>COMPLETE IF KNOWN</i>															
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Filing Date															
Group Art Unit															
Examiner Name															

As a below named inventor, I hereby declare that:
My residence, post office address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UTILIZATION OF CATION-ACTIVE MIXTURES

(Title of the invention)

the specification of which ☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 10/30/1999 as United States Application Number or PCT International Application Number PCT/EP99/08286 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

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OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

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Aaron E. Ettelman	42,516	Henry E. Millson, Jr.	18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number ☐ or label **23657** OR ☐ Fill in correspondence address below

Name **Steven J. Trzaska**
Address
Address
City State Zip
Country Telephone **610-278-4929** Fax **610-278-6548**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name **Bettina** Middle Initial Family Name **Jackwerth** Suffix e.g. Jr.

Inventor's Signature Date

Residence: City **Langenfeld** State Country **Germany** Citizenship **Germany**

Post Office Address **Brunnenstrasse 33 b**

Post Office Address

City **40764 Langenfeld** State Zip Country **Germany** Applicant Authority

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

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DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet		
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Inventor's Signature					Date		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Mannheimer Weg 16						
Post Office Address							
City	40229 Duesseldorf	State		Zip		Country	Germany
Applicant Authority							
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Given Name	Cristina	Middle Initial		Family Name	Amela Conesa	Suffix e.g. Jr.	
Inventor's Signature					Date	June 01, 2001	
Residence: City	Barcelona	State		Country	Spain	Citizenship	Spain
Post Office Address	Paseo Valldaura 162, 6-1						
Post Office Address							
City	08042 Barcelona	State		Zip		Country	Spain
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Esther	Middle Initial		Family Name	Prat Queralt	Suffix e.g. Jr.	
Inventor's Signature					Date	June 01, 2001	
Residence: City	Alella	State		Country	Spain	Citizenship	Spain
Post Office Address	Calle Guillerias 18						
Post Office Address							
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